Centers for Medicare & Medicaid Services (CMS)
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Standard Companion Guide
Health Care Claim: Institutional (837I)

Based on ASC X12N Technical Report Type 3 (TR3), Version 005010X223A2

Companion Guide Version Number: 6.0,
May 2020

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Disclosure Statement

The Centers for Medicare & Medicaid Services (CMS) is committed to maintaining the integrity and security of health care data in accordance with applicable laws and regulations. Disclosure of Medicare claims is restricted under the provisions of the Privacy Act of 1974 and Health Insurance Portability and Accountability Act of 1996 (HIPAA). This Companion Guide (CG) is to be used for conducting Medicare business only.
Preface

This CG to the Accredited Standards Committee (ASC) X12N Technical Report Type 3 (TR3) Version 005010 and associated errata adopted under HIPAA clarifies and specifies the data content when exchanging transactions electronically with Medicare. Transmissions based on this CG, used in tandem with the TR3 are compliant with both ASC X12N syntax and those guides. This CG is intended to convey information that is within the framework of the TR3 adopted for use under HIPAA. This CG is not intended to convey information that in any way exceeds the requirements or usages of data expressed in the TR3.

This CG contains instructions for electronic communications with the publishing entity, as well as supplemental information for creating transactions while ensuring compliance with the associated ASC X12N TR3s and the Council for Affordable Quality Healthcare – Committee on Operating Rules for Information Exchange (CAQH CORE) CG operating rules.

In addition, this CG contains the information needed by Trading Partners to send and receive electronic data with the publishing entity, who is acting on behalf of CMS, including detailed instructions for submission of specific electronic transactions. The instructional content is limited by ASC X12N’s copyrights and Fair Use statement.

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Introduction

This document is intended to provide information from the author of this guide to Trading Partners to give them the information they need to exchange Electronic Data Interchange (EDI) data with the author. This includes information about registration, testing, support, and specific information about control record setup.

An EDI Trading Partner is defined as any Medicare customer (e.g., provider/supplier, billing service, clearinghouse, or software vendor) that transmits to, or receives electronic data from Medicare. Medicare’s EDI transaction system supports transactions adopted under HIPAA as well as additional supporting transactions as described in this guide.

Medicare Fee-For-Service (FFS) is publishing this CG to clarify, supplement, and further define specific data content requirements to be used in conjunction with, and not in place of, the ASC X12N Technical Report Type 3 (TR3) Version 005010 and associated errata for all transactions mandated by HIPAA and/or adopted by Medicare FFS for EDI.

This CG provides communication, connectivity and transaction-specific information to Medicare FFS Trading Partners and serves as the authoritative source for Medicare FFS-specific EDI protocols.

Additional information on Medicare FFS EDI practices are referenced within Internet-only Manual (IOM) Pub. 100-04 Medicare Claims Processing Manual:


1.1 Scope

EDI addresses how Trading Partners exchange professional and institutional claims, claim acknowledgments, claim remittance advice, claim status inquiry and responses, and eligibility inquiry and responses electronically with Medicare. This CG also applies to ASC X12N 837I transactions that are being exchanged with Medicare by third parties such as clearinghouses, billing services or network service vendors.

This CG provides technical and connectivity specification for the 837 Health Care Claim: Institutional transaction Version 005010X223A2.

1.2 Overview

This CG includes information needed to commence and maintain communication exchange with Medicare. In addition, this CG has been written to assist you in designing and implementing ASC X12N 837I transaction standards to meet Medicare's processing standards. This information is organized in the sections listed below:
• **Getting Started:** This section includes information related to hours of operation, data services, and audit procedures. Information concerning Trading Partner registration and the Trading Partner testing process is also included in this section.

• **Testing and Certification Requirements:** This section includes detailed transaction testing information as well as certification requirements needed to complete transaction testing with Medicare.

• **Connectivity/Communications:** This section includes information on Medicare’s transmission procedures as well as communication and security protocols.

• **Contact Information:** This section includes EDI customer service, EDI technical assistance, Trading Partner services and applicable websites.

• **Control Segments/Envelopes:** This section contains information needed to create the Interchange Control Header/Trailer (ISA/IEA), Functional Group Header/Trailer (GS/GE), and Transaction Set Header/Trailer (ST/SE) control segments for transactions to be submitted to or received from Medicare.

• **Specific Business Rules and Limitations:** This section contains Medicare business rules and limitations specific to the ASC X12N 837I.

• **Acknowledgments and Reports:** This section contains information on all transaction acknowledgments sent by Medicare and report inventory.

• **Trading Partner Agreement:** This section contains information related to implementation checklists, transmission examples, Trading Partner Agreements and other resources.

• **Transaction Specific Information:** This section describes the specific CMS requirements over and above the information in the ASC X12N 837I TR3.

### 1.3 References

The following websites provide information for where to obtain documentation for Medicare-adopted EDI transactions and code lists.

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</tr>
<tr>
<td>---</td>
</tr>
<tr>
<td>ASC X12N TR3s</td>
</tr>
</tbody>
</table>

### 1.4 Additional Information

The website linked in the following table provides additional resources for HIPAA Version 005010 implementation:
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<table>
<thead>
<tr>
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<th>Web Address</th>
</tr>
</thead>
</table>

2 Getting Started

2.1 Working Together

WPS Health Solutions is dedicated to providing communication channels to ensure communication remains constant and efficient. WPS Health Solutions has several options to assist the community with their electronic data exchange needs. By using any of these methods, WPS Health Solutions is focused on supplying the Trading Partner community with a variety of support tools.

An EDI help desk is established for the first point of contact for basic information and troubleshooting. The help desk is available to support most EDI questions/incidents while at the same time being structured to triage each incident if more advanced research is needed. Email is also accepted as a method of communicating with WPS Health Solutions EDI. The email account is monitored by knowledgeable staff ready to assist you. When communicating via email, please exclude any Protected Health Information (PHI) to ensure security is maintained. In addition to the WPS Health Solutions EDI help desk and email access, see Section 5 for additional contact information.

WPS Health Solutions also has several external communication components in place to reach out to the Trading Partner community. WPS Health Solutions posts all critical updates, system issues and EDI-specific billing material to their website: [http://www.wpshealth.com/resources/provider-resources/edi/index.shtml](http://www.wpshealth.com/resources/provider-resources/edi/index.shtml). All Trading Partners are encouraged to visit this page to ensure familiarity with the content of the site. WPS Health Solutions also distributes EDI-pertinent information in the form of an EDI newsletter or comparable publication, which is posted to the website every 3 months. In addition to the website, a distribution list has been established in order to broadcast urgent messages. Please register for WPS Health Solutions distribution list by [http://www.wpsgha.com/](http://www.wpsgha.com/) select eNews located at the bottom of the page, enter your e-mail address, and check the lists you would like to sign up for (general, state-specific, or specialty specific lists are all available).

WPS Health Solutions sends a message every Monday. On occasion you will receive a message on another day of the week when we have urgent news to share.

2.2 Trading Partner Registration

An EDI Trading Partner is any entity (provider, billing service, clearinghouse, software vendor, employer group, financial institution, etc.) that transmits electronic data to, or receives electronic data from, another entity.

Medicare FFS and WPS Health Solutions support many different types of Trading Partners or customers for EDI. To ensure proper registration, it is important to understand the terminology associated with each customer type:
• **Submitter** – the entity that owns the submitter ID associated with the health care data being submitted. It is most likely the provider, hospital, clinic, supplier, etc., but could also be a third party submitting on behalf of one of these entities. However, a submitter must be directly linked to each billing National Provider Identifier (NPI). Often the terms submitter and Trading Partner are used interchangeably because a Trading Partner is defined as the entity engaged in the exchange or transmission of electronic transactions. Thus, the entity that is submitting electronic administrative transactions to WPS is a Medicare FFS Trading Partner.

• **Vendor** – an entity that provides hardware, software, and/or ongoing technical support for covered entities. In EDI, a vendor can be classified as a software vendor, billing or network service vendor, or clearinghouse.

• **Software Vendor** – an entity that creates software used by Trading Partners to conduct the exchange of electronic transactions with Medicare FFS.

• **Provider/Supplier** – the entity that renders services to beneficiaries and submits health care claims to Medicare.

• **Billing Service** – a third party that prepares and/or submits claims for a provider.

• **Clearinghouse** – a third party that submits and/or exchanges electronic transactions (claims, claim status or eligibility inquiries, remittance advice, etc.) on behalf of a provider.

• **Network Service Vendor** – a third party that provides connectivity between a Trading Partner and WPS.

New Providers wanting to send the 837 Institutional transaction, will need to complete a self-registration process on our WPS Community Manager System and EDI Express Enrollment (E3).

The EDI Express Enrollment tool is located at the following URL:
http://www.wpshealth.com/resources/provider-resources/edi/enrollment.shtml

Under HIPAA, EDI applies to all covered entities transmitting the following HIPAA-established administrative transactions: 837I and 837P, 835, 270/271, 276/277, and the National Council for Prescription Drug Programs (NCPDP) D.0. Additionally, Medicare Administrative Contractors (MACs) and Common Electronic Data Interchange (CEDI) will use the Interchange Acknowledgment (TA1), Implementation Acknowledgment (999), and 277 Claim Acknowledgement (277CA) error-handling transactions.

Medicare requires that WPS furnish information on EDI to new Trading Partners that request Medicare claim privileges. Additionally, Medicare requires WPS to assess the capability of entities to submit data electronically, establish their qualifications (see test requirements in Section 3), and enroll and assign submitter EDI identification numbers to those approved to use EDI.

A provider must obtain an NPI and furnish that NPI to WPS prior to completion of an initial EDI Enrollment Agreement and issuance of an initial EDI number and password by that contractor. WPS is required to verify that NPI is on the Provider Enrollment Chain and Ownership System (PECOS). If the NPI is not verified on the PECOS, the EDI Enrollment Agreement is denied, and the provider is encouraged to contact WPS enrollment.
department (for Medicare Part A and Part B providers) or the National Supplier Clearinghouse (for Durable Medical Equipment [DME] suppliers) to resolve the issue. Once the NPI is properly verified, the provider can reapply the EDI Enrollment Agreement.

A provider’s EDI number and password serve as an electronic signature and the provider would be liable for any improper usage or illegal action performed with it. A provider’s EDI access number and password are not part of the capital property of the provider’s operation and may not be given to a new owner of the provider’s operation. A new owner must obtain their own EDI access number and password.

If providers elect to submit/receive transactions electronically using a third party such as a billing agent, a clearinghouse, or network services vendor, then the provider is required to have an agreement signed by that third party. The third party must agree to meet the same Medicare security and privacy requirements that apply to the provider in regard to viewing or using Medicare beneficiary data. These agreements are not to be submitted to Medicare but are to be retained by the provider. Providers will notify WPS which third party agents they will be using on their EDI Enrollment form.

Third parties are required to register with WPS by completing the third-party agreement form. This will ensure that their connectivity is completed properly, however they may need to enroll in mailing lists separately in order to receive all publications and email notifications.


The providers must also be informed that they are not permitted to share their personal EDI access number and password with any billing agent, clearinghouse, or network service vendor. Providers must also not share their personal EDI access number with anyone on their own staff who does not need to see the data for completion of a valid electronic claim, to process a remittance advice for a claim, to verify beneficiary eligibility, or to determine the status of a claim. No other non-staff individuals or entities may be permitted to use a Provider’s EDI number and password to access Medicare systems. Clearinghouse and other third-party representatives must obtain and use their own unique EDI access number and password from WPS. For a complete reference to security requirements, see Section 4.4.

### 2.3 Trading Partner Certification and Testing Process

WPS Health Solutions testing requirements:
- 25-claim minimum
- ISA14 strongly encouraged to use value 1 or a TA1 will not generate
- ISA15 must = T for testing
- 100% syntax
- 95% business rules
- Submitter is considered in test until approved by contractor
3 Testing and Certification Requirements

3.1 Testing Requirements

All submitters must produce accurate electronic test files before being allowed to submit claim transactions in production. Test claims are subject to ASC X12N standard syntax and TR3 semantic data edits. Documentation will be provided when this process detects errors.

Each submitter is required to submit test transactions prior to being approved for production. After a Submitter has submitted a Test file containing a T in the ISA 15 and received and reviewed their response files and has determined that their file meets all of the listed requirements, they then must complete and submit the Production Approval request located at [https://edi.wpsic.com/edir/RequestApproval](https://edi.wpsic.com/edir/RequestApproval).

- Standard syntax testing validates the programming of the incoming file and includes file layout, record sequencing, balancing, alpha-numeric/numeric/date file conventions, field values, and relational edits. Test files must pass 100 percent of the standard syntax tests before submission to production is approved.

- TR3 Semantic Data testing validates data required for claims processing, e.g., procedure/diagnosis codes, modifiers. A submitter must demonstrate, at a minimum, 95 percent accuracy rate in data testing before submission in production is approved where, in the judgment of WPS Health Solutions, the vendor/submitter will make the necessary correction(s) prior to submitting a production file. For MACs, the minimum 95 percent accuracy rate includes the front-end edits applied TR3 editing module at the official ASC X12 website.
  - Test results will be provided to the submitter within three business days; during HIPAA version transitions this time period may be extended, not to exceed ten business days. Many submitters use the same software, or the same clearinghouse to submit their electronic transactions to Medicare.

Trading Partners who submit transactions directly to more than one A/B MAC must contact each A/B MAC with whom they exchange EDI transactions to inquire about the need for supplemental testing whenever they plan to begin to use an additional EDI transaction, different or significantly modified software for submission of a previously used EDI transaction, or before a billing agent or clearinghouse begins to submit transactions on behalf of an additional Trading Partner. The individual A/B MAC may need to retest at that time to reestablish compatibility and accuracy, particularly if there will also be a change in the telecommunication connection to be used.

Billing services and clearinghouses are not permitted to begin to submit or receive EDI transactions on behalf of a provider prior to submission of written authorization by the Trading Partner that the billing agent or clearinghouse has been authorized to handle those transactions on the provider’s behalf. See Section 2.2 for further information on EDI enrollment.
3.2 Certification Requirements

Medicare FFS does not certify Trading Partners. However, WPS Health Solutions does certify vendors, clearinghouses, and billing services by conducting testing with them and maintaining an approved vendor list that can be accessed at: [http://wpshealth.com/resources/files/medicare-connection.pdf](http://wpshealth.com/resources/files/medicare-connection.pdf)

4 Connectivity/Communications

4.1 Process Flows

Step 1: Trading Partner Registration and completion of required business agreements:

Trading Partners who wish to do business with WPS Health Solutions and its contracts electronically will need to complete a self-registration process on our WPS Community Manager System and E3.

The EDI Express Enrollment tool is located at the following URL: [http://www.wpshealth.com/resources/provider-resources/edi/enrollment.shtml](http://www.wpshealth.com/resources/provider-resources/edi/enrollment.shtml)

Step 2: Provide requested information:

The WPS Trading Partner will Send 837 files.

Step 3: Provide WPS Status Response:

Once an 837 file is received, WPS will send one or more of the following responses: TA1, 999, and 277CA. Once a claim has been processed in the adjudication system, a remittance (paper or electronic) is produced.

- A claim is sent to WPS by a submitter.
- The claim goes through the initial edits. If it fails the initial edits, then WPS creates the failed message. If it passes the initial edits, then it is sent to the Commercial Off-the-Shelf (COTS) Translator.
- At the COTS translator, the claim can either be accepted, be accepted with errors, or reject.
- The COTS will produce the 999, which will be sent to the provider to advise whether the claim was accepted and sent for further processing or rejected.
- If a claim rejected, it goes no further.
- If a claim is accepted or accepted with errors, then it is sent to the Combined Common Edit Module (CCEM).
- At the CCEM, the claim can be accepted and passed into adjudication system or be rejected.
- Date editing on all inbound transactions will be done based on the WPS Health Solutions local time, e.g. Central Standard Time.
- The CCEM will produce the Claim Acknowledgment (277CA), which will be sent to the submitter to advise whether the claim was accepted adjudication system or rejected.
Once the claim has been processed in adjudication system and the remittance (either Standard Paper Remittance (SPR) or Electronic Remittance Advice (835) is produced.

**4.2 Transmission**

Before establishing data communications with WPS Health Solutions, a Trading Partner relationship must exist. As part of the process establishing the relationship, WPS Health Solutions and the Trading Partner must exchange certain technical information. This information is needed by both parties to establish communications.

The information requested will include:

1. Contacts; business, data, and communications
2. Dates; testing, production
3. File information; size, naming
4. Transfer; schedule, protocol
5. Server information; host name, User ID, password, file location, file name

**4.2.1 Re-transmission Procedures**

**Notice:** Date editing on all inbound transactions will be done based on WPS Health Solutions local time, e.g., CT.

If a file or claims within a file are rejected for any reason, you will need to resend the corrected file or create a new file containing the corrected claims. It is recommended that you use the same transmission method to transmit your corrected file.

**4.3 Communication Protocol Specifications**

The implementation of WPS Community Manager, effective on April 3, 2017, provides new options for Transfer Protocols.

a. **WPS Gateway Express:** provides secure, web-based access for Trading Partners so external users associated with these Trading Partners can log in and perform simple file uploads and downloads. Trading Partners communicate with the WPS Gateway Express server by exchanging documents over HTTPS. Support for this industry standard means that the software can be easily implemented using existing technology infrastructure.

b. **WPS Medicare EDI Gateway:** The WPS Medicare EDI Gateway website resides on a Microsoft Windows server platform hardened against threats from the internet and trusted networks. Organizations that need
to support very large volumes of file transfers and/or many users may require additional hardware, but for many organizations, the minimum recommended specifications should suffice.

- GHz Pentium-compatible CPU
- 80 GB SATA or SAS hard drive
- 1 GB RAM
- 100/1000 MB TCP/IP-capable ethernet interface

The WPS Medicare EDI Gateway has been tested against and fully supports the following major browsers:

- Internet Explorer version 6.0 or higher
- Internet Explorer 7.0 and higher preferred when using Upload/Download Wizard (Active X or Java)
- Firefox (2.0 and 3.0) preferred when using Upload/Download Wizard (Java-Windows/*nix/Mac OS X)
- Safari (versions 2 and 3) under MacIntosh OS X when using Upload/Download Wizard (Java Only)

c. **Hyper Text Transfer Protocol Secure (HTTPS)** also referred to as HTTP, is a protocol for secure communication over a computer network, which is widely used on the internet. It can be used in web application transfers as well as raw structure transfers. WPS Gateway Express web application uses HTTPS for connectivity but is identified as ‘Inbox’ when you are setting your Primary Transport method. See Inbox transfer protocol type shown below.

d. **Secure File Transfer Protocol (SFTP)** via EDI connection via Network Service Vendor list:

e. **HTTP** server errors with an HTTP 500 Internal Service Error or an HTTP 503 Service Unavailable error message for transactions as a result of the Phase II Connectivity Rule 270, requirement 4.3.

f. **X12** is an Electronic Data Interchange (EDI) standard developed for the electronic exchange of machinereadable information between businesses. An X12 document is a file containing EDI data to be exchanged between Trading Partners. There are three basic structures in an X12 document:

- Interchange
- Functional Group
- Transaction Set

**Prior to the implementation of Community Manager, the WPS Bulletin Board System and the Medicare EDI Gateway were the only Transfer Protocols available. Although these options are currently still available, all trading partners will be required to transition to the Community Manager and these options will be decommissioned at a future date.** **

**Batch Process:**
Batch processing offers two transmission methods for you to choose from when registering to become a WPS Electronic Trading Partner. Following are some of the general system requirements for each.

**WPS Medicare EDI Gateway:**

The WPS Medicare EDI Gateway website resides on a Microsoft Windows server platform hardened against threats from the internet and trusted networks. Organizations that need to support very large volumes of file transfers and/or many users may require additional hardware, but for many organizations, the minimum recommended specifications should suffice.

- 2 GHz Pentium-compatible CPU
- 80 GB SATA or SAS hard drive
- 1 GB RAM
- 100/1000 MB TCP/IP-capable ethernet interface

The WPS Medicare EDI Gateway website has been tested against and fully supports the following major browsers:

- Internet Explorer version 6.0 or higher
- Internet Explorer 7.0 and higher preferred when using Upload/Download Wizard (Active X or Java)
- Firefox (2.0 and 3.0) preferred when using Upload/Download Wizard (Java-Windows/*nix/Mac OS X)
- Safari (versions 2 and 3) under Macintosh OS X when using Upload/Download Wizard (Java Only)

**Asynchronous Dial-up Bulletin board system**

WPS Health Solutions is currently using the WPS EDI Bulletin Board System (WGBBS) to receive your electronic files using asynchronous telecommunications. The BBS also allows you to receive reports and other files from WPS Health Solutions:

- Has compatible modem, with a minimum 9600 baud rate
- Protocols (ASCII, X modem, Y modem, Z modem and Kermit/Super Kermit)
- Analog telephone line (DLS or Cable modem connections will not work)

### 4.4 Security Protocols and Passwords

All Trading Partners must adhere to CMS information security policies; including, but not limited to, the transmission of electronic claims, claim status, receipt of the remittance advice, or any system access to obtain beneficiary PHI and/or eligibility information. Violation of this policy will result in revocation of all methods of system access. WPS Health Solutions is responsible for notifying all affected Trading Partners as well as reporting the system revocation to CMS. Additional information can be found at:
To meet WPS corporate and federal security mandates, the following password policies are in place:

- Passwords expire every 60 days.
- All passwords must be at least nine characters.
- All passwords must contain at least one UPPERCASE letter.
- All passwords must contain at least one lowercase letter.
- All passwords must contain at least one number.
- All passwords must contain at least one special character (,!@#$%^&*()_+|~=`{}[]:";'<>?,./)
- You must change your password before it expires.
- Passwords cannot be changed more than one time within a 24-hour period.
- 24 passwords are “remembered” and cannot be reused until 24 others have been used.
- Account is locked after three unsuccessful login attempts within 60 minutes. The account will remain locked for 180 minutes.

Trading Partners who conduct business with WPS are subject to WPS security policies. Users should take appropriate measures to prevent unauthorized disclosure or modification of assigned IDs and passwords. Violation of this policy will result in revocation of all methods of system access, including, but not limited to, EDI front-end access. Trading Partners are not permitted to share their personal EDI access number and password with any billing agent or clearing house/network service vendor.

Providers must also not share their personal EDI access number with any colleague who does not need to see the data for completion of a valid electronic claim, to process a remittance advice for a claim, to verify beneficiary eligibility, or to determine the status of a claim. No other noncolleague individuals or entities may be permitted to use a provider’s EDI number and password to access WPS systems. Clearinghouse and other third-party representatives must obtain and use their own unique EDI access number and password provided by WPS.

5 Contact Information
5.1 EDI Customer Service

Medicare Part A & B J5 & Part A J5 National MAC
(IA, KS, MO, NE & J5N Multiple States)
WPS Health Solutions EDI
1717 West Broadway Madison, WI. 53713-1834
Fax: (608) 223-3824
Phone: (866) 518-3285, Option 1

Medicare Part A & B J8 MAC
5.2 EDI Technical Assistance

See Section 5.1

5.3 Trading Partner Service Number

See Section 5.1

5.4 Applicable Websites / Email

Part A email - EDIMedicareA@wpsic.com

Part B email - EDIMedicareB@wpsic.com

6 Control Segments / Envelopes

Interchange Control (ISA/IEA), Function Group (GS/GE), and Transaction (ST/SE) envelopes must be used as described in the national TR3. Medicare’s expectations for inbound ISAs and a description of data on outbound ISAs are detailed in this chapter. Specific guidelines and instructions for GS and GE segments are contained in each Transaction Information CG.

Note: Medicare only accepts functional groups based upon one TR3 per Interchange Envelope (ISA/IEA). If transactions based upon more than one TR3 are being submitted, each must be contained within its own Interchange.

For Medicare, FFS specific guidance refers to the appropriate Medicare FFS transaction specific edit documents found at http://www.cms.gov/ElectronicBillingEDITrans/. Enveloping information must be as follows:
<table>
<thead>
<tr>
<th>Page #</th>
<th>Element</th>
<th>Name</th>
<th>Codes/Content</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.4</td>
<td>ISA01</td>
<td>Authorization Information Qualifier</td>
<td>00</td>
<td>Medicare expects the value to be “00”.</td>
</tr>
<tr>
<td>C.4</td>
<td>ISA02</td>
<td>Authorization Information</td>
<td></td>
<td>ISA02 shall contain 10 blank spaces.</td>
</tr>
<tr>
<td>C.4</td>
<td>ISA03</td>
<td>Security Information Qualifier</td>
<td>00</td>
<td>Medicare expects the value to be “00” and ISA03 shall contain 2 blank spaces.</td>
</tr>
<tr>
<td>C.4</td>
<td>ISA04</td>
<td>Security Information</td>
<td></td>
<td>Medicare does not use Security Information and will ignore content sent in ISA04</td>
</tr>
<tr>
<td>C.4</td>
<td>ISA05</td>
<td>Interchange ID Qualifier</td>
<td>28, ZZ</td>
<td>Must be “28” or “ZZ”.</td>
</tr>
<tr>
<td>C.4</td>
<td>ISA06</td>
<td>Interchange Sender ID</td>
<td></td>
<td>Each MAC will assign its own ID. This is also required in the GS02.</td>
</tr>
<tr>
<td>C.5</td>
<td>ISA07</td>
<td>Interchange ID Qualifier</td>
<td>28, ZZ</td>
<td>Must be “28” or “ZZ”.</td>
</tr>
<tr>
<td>C.5</td>
<td>ISA08</td>
<td>Interchange Receiver ID</td>
<td></td>
<td>Medicare Administrative Contractor (MAC) contract number for the inbound transactions.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• Iowa 05101</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• Kansas 05201</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• Missouri 05301</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• Nebraska 05401</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• J5 National 05901</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• Indiana 08101</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• Michigan 08201</td>
</tr>
<tr>
<td>C.5</td>
<td>ISA11</td>
<td>Repetition Separator</td>
<td></td>
<td>Defined by Submitter.</td>
</tr>
</tbody>
</table>
Interchange Control (ISA/IEA) and Function Group (GS/GE) and the Transaction (ST/SE) sets must be used as described in the TR3. Medicare’s expectations for the Control Segments and Envelopes are detailed in Sections 6.1, 6.2, and 6.3.

### 6.1 ISA-IEA

**Delimiters – Inbound Transactions**

As detailed in the TR3, delimiters are determined by the characters sent in specified, set positions of the ISA header. For transmissions inbound to Medicare FFS, these characters are determined by the submitter and can be any characters as defined in the TR3 and must not be contained within any data elements within the ISA/IEA Interchange Envelope.

**Delimiters – Outbound Transactions**

Medicare recommends the use of the following delimiters in all outbound transactions; trading partners/submitters should contact their local A/B MAC or CEDI for any deviations. Note that these characters will not be used in data elements within an ISA/IEA Interchange Envelope.

<table>
<thead>
<tr>
<th>Delimiter</th>
<th>Character Used</th>
<th>Dec Value</th>
<th>Hex Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.5 ISA14</td>
<td>Acknowledgement Requested</td>
<td>1</td>
<td></td>
</tr>
</tbody>
</table>

Medicare requires submitter to send code value 1 - Interchange Acknowledgment Requested (TA1).

Medicare will only return a TA1 segment when there is an error in the ISA/IEA Interchange Envelope.

**Table 4 - Outbound Transaction Delimiters**

<table>
<thead>
<tr>
<th>Delimiter</th>
<th>Character Used</th>
<th>Dec Value</th>
<th>Hex Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>GS</td>
<td>Functional Group Header</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C.7 GS02</td>
<td>Application Sender Code</td>
<td></td>
<td>Each MAC will assign its own code.</td>
</tr>
<tr>
<td>C.7 GS03</td>
<td>Application Receiver Code</td>
<td></td>
<td>Each MAC will assign its own code.</td>
</tr>
<tr>
<td>C.7 GS04</td>
<td>Functional Group Creation Date</td>
<td></td>
<td>Must not be a future date.</td>
</tr>
<tr>
<td>C.7 GS08</td>
<td>Version Identifier Code</td>
<td>005010X223A2</td>
<td>Medicare expects the value to be “005010X223A2”</td>
</tr>
</tbody>
</table>
Inbound Data Element Detail and Explanation

All data elements within the ISA/IEA interchange envelope must follow ASC X12N syntax rules as defined within the TR3.

### 6.2 GS-GE

Functional group (GS-GE) codes are transaction-specific. Therefore, information concerning the GS/GE Functional Group Envelope can be found in Table 3.

### 6.3 ST-SE

Medicare FFS follows the HIPAA-adopted TR3 requirements.

### 7 Specific Business Rules

This section describes the specific CMS requirements over and above the standard information in the TR3.

#### 7.1 General Notes

Errors identified for business level edits performed prior to the Subscriber loop (2000B) will result in immediate file failure at that point. When this occurs, no further editing will be performed beyond the point of failure.

The billing provider must be associated with an approved electronic submitter. Claims submitted for billing providers that are not associated to an approved electronic submitter will be rejected. The following table describes segments/elements not accepted by Medicare.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>81</td>
<td>2000A</td>
<td>CUR</td>
<td>Foreign Currency Information</td>
<td>Codes</td>
<td>Medicare does not support the submission of foreign currency.</td>
</tr>
</tbody>
</table>
### 8 Acknowledgments and Reports

Medicare has adopted three new acknowledgement transactions with the Version 005010 implementation: the 277CA, the 999, and the TA1 segment – which provides the capability for the interchange receiver to notify the sender that a valid envelope was received or that problems were encountered with the interchange control structure. These acknowledgments will replace proprietary reports previously provided by the MACs.

Medicare FFS has adopted a process to only reject claim submissions that are out of compliance with the ASC X12N Version 005010 standard; the appropriate response for such errors will be returned on a 999. Batch submissions with errors will not be rejected in totality, unless warranted.
8.1 Report Inventory

WPS has adopted a process to only reject claim submissions that are out of compliance with the ASC X12 version 5010 standards; the appropriate response for such errors will be returned on a TA1 Interchange Acknowledgement or a 999 Implementation Acknowledgment. Batch submissions with errors may not be rejected in totality but will selectively reject the claims submitted in error within it. Thus, WPS will reject claim submissions and return a TA1 Interchange Acknowledgement, 999 Implementation Acknowledgment, and/or 277 Claim Acknowledgment. No proprietary reports will be returned for valid transaction.

9 Trading Partner Agreement

EDI Trading Partner Agreements ensure the integrity of the electronic transaction process. The Trading Partner Agreement is related to the electronic exchange of information, whether the agreement is an entity or a part of a larger agreement, between each party to the agreement.

Medicare FFS requires all Trading Partners to sign a Trading Partner Agreement with WPS Health Solutions. This agreement can be found at http://www.wpshealth.com/resources/providerresources/edi/enrollment.shtml.

Additionally, WPS Health Solutions requires the following: In addition to the Trading Partner agreement, WPS Health Solutions requires that all Trading Partners complete a self-registration process on our WPS Community Manager System and E3, which is located at the above URL.

10 Transaction-Specific Information

This section describes the specific CMS requirements over and above the standard information in the TR3.

10.1 Header

The following sub-sections contain specific details for the header.

10.1.1 Header and Information Source

The following table defines specific details associated with Header and Information Source:

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>ST</td>
<td>Transaction Set Header</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>


The MAC will reject an interchange (transmission) that is not submitted with unique values in the ST02 (Transaction Set Control Number) elements.

**10.1.2 Loop 1000A Submitter Name**

The following table defines specific details associated with Loop 1000A Submitter Name:

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>67</td>
<td>ST02</td>
<td></td>
<td>Transaction Set Control Number</td>
<td></td>
<td>9</td>
<td>The MAC will reject an interchange (transmission) that is not submitted with unique values in the ST02 (Transaction Set Control Number) elements.</td>
</tr>
<tr>
<td>68</td>
<td>BHT02</td>
<td></td>
<td>Transaction Set Purpose Code</td>
<td>00</td>
<td>2</td>
<td>Must equal “00” (ORIGINAL).</td>
</tr>
<tr>
<td>69</td>
<td>BHT06</td>
<td></td>
<td>Claim/Encounter Identifier</td>
<td>CH</td>
<td>2</td>
<td>Must equal “CH” (CHARGEABLE).</td>
</tr>
</tbody>
</table>

**10.1.3 Loop 1000B Receiver Name**

The following table defines specific details associated with Loop 1000B Receiver Name.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>72</td>
<td>1000A</td>
<td>NM105</td>
<td>Submitter Name</td>
<td></td>
<td>25</td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
<tr>
<td>72</td>
<td>1000A</td>
<td>NM109</td>
<td>Submitter ID</td>
<td></td>
<td>80</td>
<td>The MAC will reject an interchange (transmission) that is submitted with a submitter identification number that is not authorized for electronic claim submission. Submitter ID must match the value submitted in ISA06 and GS02.</td>
</tr>
</tbody>
</table>
10.2 Subscriber Detail

The following sub-sections contain specific requirements for the Subscriber Detail.

10.2.1 Loop 2000B Subscriber Hierarchical Level

The following table defines specific details associated with Loop 2000B Subscriber Hierarchical Level.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>108</td>
<td>2000B</td>
<td>HL</td>
<td>Subscriber Hierarchical Level</td>
<td></td>
<td>1</td>
<td>The value accepted is “0”.</td>
</tr>
<tr>
<td>109</td>
<td>2000B</td>
<td>SBR01</td>
<td>Payer Responsibility Sequence Number Code</td>
<td>P, S, T</td>
<td>1</td>
<td>The values accepted are “P” or “S” or “T”.</td>
</tr>
</tbody>
</table>
10.2.2 Loop 2010BA Subscriber Name

The following table defines specific details associated with Loop 2010BA Subscriber Name.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>113</td>
<td>2010BA</td>
<td>NM1</td>
<td>Subscriber Name</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>113</td>
<td>2010BA</td>
<td>NM102</td>
<td>Subscriber Entity Type Qualifier</td>
<td>1</td>
<td>1</td>
<td>The value accepted is “1”.</td>
</tr>
<tr>
<td>113</td>
<td>2010BA</td>
<td>NM105</td>
<td>Subscriber Middle Name or Initial</td>
<td>25</td>
<td></td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
<tr>
<td>114</td>
<td>2010BA</td>
<td>NM108</td>
<td>Subscriber Identification Code Qualifier</td>
<td>MI</td>
<td>2</td>
<td>The value accepted is “MI”.</td>
</tr>
<tr>
<td>114</td>
<td>2010BA</td>
<td>NM109</td>
<td>Subscriber Primary Identifier</td>
<td>80</td>
<td>If a Medicare Health Insurance Claim Number (HICN): Must be 10 – 11 positions in the format of NNNNNNNNNA or NNNNNNNNAA or NNNNNNNNNAN where “A” represents an alpha character and “N” represents a numeric digit. If Railroad IDs: 2010BA NM109 must be 7 – 12 positions in the format of ANNNNNN, AANNNNNN, ANNNNNNNNN, AANNNNNNNN, AAANNNNNN, or AAANNNNNNNN where “A” represents an alpha character and “N” represents a numeric digit. If MBI: must be 11 positions in the format of C A AN N A AN N A A N N where “C” represents a constrained numeric 1 thru 9, “A” represents alphabetic character A – Z but excluding S, L, O, I, B, Z, “N” represents numeric 0 thru 9, and “AN” represents either “A” or “N”.</td>
<td></td>
</tr>
<tr>
<td>118</td>
<td>2010BA</td>
<td>DMG02</td>
<td>Subscriber Birth Date</td>
<td>35</td>
<td>Must not be a future date.</td>
<td></td>
</tr>
</tbody>
</table>

### 10.2.3 Loop 2010BB Payer Name

The following table defines specific details associated with Loop 2010BB Payer Name.
### Table 11 – Loop 2010BB Payer Name

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>123</td>
<td>2010BB</td>
<td>NM108</td>
<td>Payer Identification Code Qualifier</td>
<td>PI</td>
<td>2</td>
<td>The value accepted is “PI”.</td>
</tr>
</tbody>
</table>

### 10.3 Patient Detail

The following sub-sections contain specific requirements for the Patient Detail.

#### 10.3.1 Loop 2300 Claim Information

The following table defines specific details associated with Loop 2300 Claim Information.

### Table 12 – Loop 2300 Claim Information

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>144</td>
<td>2300</td>
<td>CLM01</td>
<td>Patient Control Number</td>
<td></td>
<td>38</td>
<td>Only 20 characters will be stored and returned by Medicare.</td>
</tr>
<tr>
<td>145</td>
<td>2300</td>
<td>CLM02</td>
<td>Total Claim Charge Amount</td>
<td></td>
<td>10</td>
<td>When Medicare is primary payer, CLM02 must equal the sum of all SV203 service line charge amounts. When Medicare is Secondary or Tertiary payer, Total Submitted Charges (CLM02) must equal the sum of all 2320 &amp; 2430 CAS amounts and the 2320 AMT02 (AMT01= “D”).</td>
</tr>
<tr>
<td>147</td>
<td>2300</td>
<td>CLM20</td>
<td>Delay Reason Code</td>
<td></td>
<td>2</td>
<td>Data submitted in CLM20 will not be used for processing.</td>
</tr>
</tbody>
</table>
### 10.3.2 Loop 2310A Attending Provider Name

The following table defines specific details associated with Loop 2310A Attending Provider Name.

**Table 13 – Loop 2310A Attending Provider Name**

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2310A</td>
<td>NM1</td>
<td>Attending Provider Name</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>320</td>
<td>2310A</td>
<td>NM105</td>
<td>Attending Provider Middle Name</td>
<td>BM, FX, FT, EL</td>
<td>25</td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
</tbody>
</table>

### 10.3.3 Loop 2310B Operating Physician Name

The following table defines specific details associated with Loop 2310B Operating Physician Name.

**Table 14 – Loop 2310B Operating Physician Name**

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2310B</td>
<td>NM1</td>
<td>Operating Physician Name</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Page #</td>
<td>Loop ID</td>
<td>Reference</td>
<td>Name</td>
<td>Codes</td>
<td>Length</td>
<td>Notes/Comments</td>
</tr>
<tr>
<td>--------</td>
<td>---------</td>
<td>-----------</td>
<td>-------------------------------------------</td>
<td>-------</td>
<td>--------</td>
<td>------------------------------------</td>
</tr>
<tr>
<td>327</td>
<td>2310B</td>
<td>NM105</td>
<td>Operating Physician Middle Name</td>
<td></td>
<td>25</td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
</tbody>
</table>

### 10.3.4 Loop 2310C Other Operating Physician Name

The following table defines specific details associated with Loop 2310C Other Operating Physician Name.

**Table 15 – Loop 2310C Other Operating Physician Name**

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2310C</td>
<td>NM1</td>
<td>Other Operating Physician Name</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>332</td>
<td>2310C</td>
<td>NM105</td>
<td>Other Operating Physician Middle Name</td>
<td></td>
<td>25</td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
</tbody>
</table>

### 10.3.5 Loop 2310D Rendering Provider Name

The following table defines specific details associated with Loop 2310D Rendering Provider Name.

**Table 16 – Loop 2310D Rendering Provider Name**

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2310D</td>
<td>NM1</td>
<td>Rendering Provider Name</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>337</td>
<td>2310D</td>
<td>NM105</td>
<td>Rendering Provider Middle Name</td>
<td></td>
<td>25</td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
</tbody>
</table>

### 10.3.6 Loop 2310F Referring Provider Name

The following table defines specific details associated with Loop 2310E Referring Provider Name.

**Table 17 – Loop 2310F Referring Provider Name**

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2310F</td>
<td>NM1</td>
<td>Referring Provider Name</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
The first position must be alphabetic (A-Z).

| Must not be present (non-VA contractors). Submission of this segment will cause your claim to reject. |

### 10.3.7 Loop 2320 Other Subscriber Information

The following table defines specific details associated with Loop 2320 Other Subscriber Information.

**Table 18 – Loop 2320 Other Subscriber Information**

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>350</td>
<td>2310F</td>
<td>NM105</td>
<td>Referring Provider Middle Name</td>
<td></td>
<td>25</td>
<td></td>
</tr>
<tr>
<td>2310F</td>
<td>REF</td>
<td></td>
<td>Referring Provider Name Secondary Identification</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Segment Begins</th>
<th>2320 SBR</th>
<th>Other Subscriber Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>355</td>
<td>2320 SBR01</td>
<td>Payer Responsibility Sequence Number Code</td>
</tr>
<tr>
<td>356</td>
<td>2320 SBR09</td>
<td>Claim Filing Indicator Code</td>
</tr>
<tr>
<td>2320</td>
<td>CAS</td>
<td>Claim Level Adjustments</td>
</tr>
<tr>
<td>2320</td>
<td>AMT</td>
<td>Coordination of Benefits (COB) Payer Paid Amount</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Segment Begins</th>
<th>2320 CAS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Segment Begins</td>
<td>2320 AMT</td>
</tr>
</tbody>
</table>
Medicare requires that one occurrence of 2320 loop with an AMT segment where AMT01 = “D” must be present when 2000B SBR01 = “S”.

**10.3.8 Loop 2330A Other Subscriber Name**

The following table defines specific details associated with Loop 2330A Other Subscriber Name.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>364</td>
<td>2330A</td>
<td>NM1</td>
<td>Other Subscriber Name</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>378</td>
<td>2330A</td>
<td>NM105</td>
<td>Other Insured Middle Name</td>
<td></td>
<td></td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
<tr>
<td>383</td>
<td>2330A</td>
<td>REF02</td>
<td>Other Insured Additional Identifier</td>
<td></td>
<td>9</td>
<td>Must be 9 digits with no punctuation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>First 3 digits cannot be higher than “272”.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Digits 1-3, 4-5, and 6-9 cannot be zeros.</td>
</tr>
</tbody>
</table>

**10.3.9 Loop 2330B Other Payer Name**

The following table defines specific details associated with Loop 2330B Other Payer Name.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2330B</td>
<td>DTP</td>
<td></td>
<td>Claim Check or Remittance Date</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table 19 – Loop 2330A Other Subscriber Name

Table 20 – Loop 2330B Other Payer Name
10.3.10 Loop 2400 Service Line Number

The following table defines specific details associated with Loop 2400 Service Line Number.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>423</td>
<td>2400</td>
<td>LX01</td>
<td>Assigned Number</td>
<td></td>
<td></td>
<td>LX01 must be greater than zero and less than or equal to “449”. An individual claim with service lines greater than “449” will be rejected (However, the transmission of claims will be accepted, per HIPAA).</td>
</tr>
<tr>
<td>2400</td>
<td></td>
<td>SV2</td>
<td>Institutional Service</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>425</td>
<td>2400</td>
<td>SV202-1</td>
<td>Product or Service ID Qualifier</td>
<td>HC, HP</td>
<td>2</td>
<td>Must be “HC” or “HP”.</td>
</tr>
<tr>
<td>426</td>
<td>2400</td>
<td>SV202-2</td>
<td>Procedure Code</td>
<td></td>
<td></td>
<td>If A0427, A0428 (with a QL modifier in SV202-3, SV202-4, SV202-5, or SV202-6), A0425, A0429, A0430, A0431, A0432, A0433, A0434, A0435, A0488, or A0436 (non-scheduled transportation claim) are the only codes present, 2310A NM1 must not be preset.</td>
</tr>
</tbody>
</table>

Otherwise, 2310A NM1 must be present.
10.3.11 Loop 2410 Drug Identification

The following table defines specific details associated with Loop 2410 Drug Identification.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2410</td>
<td>CTP</td>
<td>Drug Quantity</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2410</td>
<td>CTP04</td>
<td>National Drug Unit Count</td>
<td>Codes</td>
<td>15</td>
<td>CTP04 must be greater than “0” and less than or equal to “9,999,999.999”. CTP04 is limited to up to 3 decimal positions.</td>
<td></td>
</tr>
</tbody>
</table>

10.3.12 Loop 2420A Operating Physician Name

The following table defines specific details associated with Loop 2420A Operating Physician Name.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2420A</td>
<td>NM1</td>
<td>Operating Physician Name</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2420A</td>
<td>NM105</td>
<td>Operating Physician Middle Name</td>
<td></td>
<td></td>
<td></td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
</tbody>
</table>
10.3.13 Loop 2420B Other Operating Physician Name

The following table defines specific details associated with Loop 2420B Other Operating Physician Name.

Table 24 – Loop 2420B Other Operating Physician Name

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2420B</td>
<td>NM1</td>
<td>Other Operating Physician Name</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>462</td>
<td>2420B</td>
<td>NM105</td>
<td>Other Operating Physician Middle Name</td>
<td></td>
<td></td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
</tbody>
</table>

10.3.14 Loop 2420C Rendering Provider Name

The following table defines specific details associated with Loop 2420C Rendering Provider Name.

Table 25 – Loop 2420C Rendering Provider Name

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2420C</td>
<td>NM1</td>
<td>Rendering Provider Physician Name</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>467</td>
<td>2420C</td>
<td>NM105</td>
<td>Rendering Provider Middle Name</td>
<td></td>
<td></td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
</tbody>
</table>

10.3.15 Loop 2420D Referring Provider Name

The following table defines specific details associated with Loop 2420D Referring Provider Name.

Table 26 – Loop 2420D Referring Provider Name

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2420D</td>
<td>NM1</td>
<td>Referring Provider Name</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>472</td>
<td>2420D</td>
<td>NM105</td>
<td>Referring Provider Middle Name</td>
<td></td>
<td></td>
<td>The first position must be alphabetic (A-Z).</td>
</tr>
</tbody>
</table>
10.3.16 Loop 2430 Line Adjudication Information

The following table defines specific details associated with Loop 2430 Line Adjudication Information.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2430</td>
<td>SVD</td>
<td>Line Adjudication Information</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>477</td>
<td>2430</td>
<td>SVD03</td>
<td>Product/Service ID Qualifier</td>
<td>HC, HP</td>
<td>2</td>
<td>Must be “HC” or “HP”.</td>
</tr>
<tr>
<td>479</td>
<td>2430</td>
<td>SVD05</td>
<td>Quantity</td>
<td></td>
<td>15</td>
<td>Must be greater than zero. Must be less than or equal to “999,999.9”. Must be 0 or 1 decimal position.</td>
</tr>
<tr>
<td>479</td>
<td>2430</td>
<td>SVD06</td>
<td>Bundled Line Number</td>
<td></td>
<td>6</td>
<td>Must be an integer (no decimals).</td>
</tr>
<tr>
<td></td>
<td>2430</td>
<td>DTP</td>
<td>Line Check or Remittance Date</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>486</td>
<td>2430</td>
<td>DTP03</td>
<td>Line Check/Remit Date</td>
<td></td>
<td>35</td>
<td>Must not be a future date.</td>
</tr>
</tbody>
</table>

10.3.17 Transaction Set Trailer

The following table defines specific details associated with the Transaction Set Trailer.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Loop ID</th>
<th>Reference</th>
<th>Name</th>
<th>Codes</th>
<th>Length</th>
<th>Notes/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>SE</td>
<td>Transaction Set Trailer</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>496</td>
<td></td>
<td>SE02</td>
<td>Transaction Set Control Number</td>
<td></td>
<td>9</td>
<td>Must have the same value as ST02. Must be greater than zero.</td>
</tr>
</tbody>
</table>
11 Appendices

11.1 Implementation Checklist

New Trading Partners wanting to receive an Electronic Remittance advice, will need to complete a self-registration process on our WPS Community Manager System and E3.

The EDI Express Enrollment tool is located at the following URL:

http://www.wpshealth.com/resources/provider-resources/edi/enrollment.shtml

Once the enrollment is complete an email will be sent confirming enrollment.

11.2 Transmission Examples

11.2.1 837I Example

This is an example of the electronic envelope for an Iowa A, 837 Institutional. Please note, individual submitter ID, contract code and transaction will affect values in elements.

ISA*00* 00* ZZ*SUBID ZZ*CONTR 190208*1226*00501*00000049*1*T*:~
GS*HC*SUBID*CONTR* 20190208*12262822* 99809*X*005010X223A2~
ST*837*49001* 005010X223A2~

11.2.2 999 Example

ISA*00* 00* ZZ*CONTRACT ZZ*SUBID 110207*1511*00501*00000001*0*T*:~
GS*FA*CONTRACT*SUBID*20110207*151135*1*X*005010X231~
ST*999*0001*005010X231~
AK1*HC*49*005010X222~
AK2*837*49001*005010X222~
IK5*A~
AK9*A*1*1*1~
SE*6*0001~
GE*1*1~
IEA*1*00000001~

For additional examples, please refer to the applicable TR3.
11.3 Frequently Asked Questions

WPS does not have a Frequently Asked Questions

11.4 Acronym Listing

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>276/277</td>
<td>276/277 Claim Status Request and Response Transaction</td>
</tr>
<tr>
<td>277CA</td>
<td>277 Claim Acknowledgement</td>
</tr>
<tr>
<td>999</td>
<td>Implementation Acknowledgment</td>
</tr>
<tr>
<td>ASC</td>
<td>Accredited Standards Committee</td>
</tr>
<tr>
<td>CAQH COR</td>
<td>Council for Affordable Quality Healthcare - Committee on Operating Rules for Information Exchange</td>
</tr>
<tr>
<td>CCEM</td>
<td>Combined Common Edit Module</td>
</tr>
<tr>
<td>CG</td>
<td>Companion Guide</td>
</tr>
<tr>
<td>CMS</td>
<td>Centers for Medicare &amp; Medicaid Services</td>
</tr>
<tr>
<td>COTS</td>
<td>Commercial Off-the-Shelf</td>
</tr>
<tr>
<td>E3</td>
<td>EDI Express Enrollment</td>
</tr>
<tr>
<td>EDI</td>
<td>Electronic Data Interchange</td>
</tr>
<tr>
<td>FFS</td>
<td>Medicare Fee-For-Service</td>
</tr>
<tr>
<td>FISMA</td>
<td>Federal Information Security Management Act</td>
</tr>
<tr>
<td>GS/GE</td>
<td>GS – Functional Group Header / GE – Functional Group Trailer</td>
</tr>
<tr>
<td>HICN</td>
<td>Health Insurance Claim Number</td>
</tr>
<tr>
<td>HIPAA</td>
<td>Health Insurance Portability and Accountability Act of 1996</td>
</tr>
<tr>
<td>HTTPS</td>
<td>Hyper Text Transfer Protocol Secure</td>
</tr>
<tr>
<td>IOM</td>
<td>Internet-only Manual</td>
</tr>
<tr>
<td>ISA/IEA</td>
<td>ISA – Interchange Control Header / IEA – Interchange Control Trailer</td>
</tr>
<tr>
<td>MAC</td>
<td>Medicare Administrative Contractor</td>
</tr>
</tbody>
</table>
NCPDP | National Council for Prescription Drug Programs
---|---
**Acronym** | **Definition**
---|---
NPI | National Provider Identifier
PECOS | Provider Enrollment Chain and Ownership System
PHI | Protected Health Information
SPR | Standard Paper Remittance
ST/SE | ST – Transaction Set Header / SE – Transaction Set Trailer
TA1 | Interchange Acknowledgment
TR3 | Technical Report Type 3
WGBBS | WPS EDI Bulletin Board System
X12 | A standards development organization that develops EDI standards and related documents for national and global markets (See the official ASC X12 website.)
X12N | Insurance subcommittee of X12

11.5 Change Summary

The following table details the version history of this CG.

<table>
<thead>
<tr>
<th>Version</th>
<th>Date</th>
<th>Section(s) Changed</th>
<th>Change Summary</th>
</tr>
</thead>
<tbody>
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<td>1.0</td>
<td>November 5, 2010</td>
<td>All</td>
<td>Initial Draft</td>
</tr>
<tr>
<td>2.0</td>
<td>January 3, 2011</td>
<td>All</td>
<td>1st Publication Version</td>
</tr>
<tr>
<td>3.0</td>
<td>April 2011</td>
<td>6.0</td>
<td>2nd Publication Version</td>
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<tr>
<td>4.0</td>
<td>September 2015</td>
<td>All</td>
<td>3rd Publication Version</td>
</tr>
<tr>
<td>5.0</td>
<td>February 2019</td>
<td>All</td>
<td>4th Publication Version</td>
</tr>
<tr>
<td>6.0</td>
<td>May 2020</td>
<td>References to WPC &amp; X12 URLs</td>
<td>5th Publication Version</td>
</tr>
</tbody>
</table>